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# CERTIFICATE OF MAILING

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GROUP 3600

Our Case No. 4672/363

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brady et al.

Serial No. 10/001,237

Filing Date: October 30, 2001

For NETWORK AND METHOD FOR  
TRADING DERIVATIVES

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) Examiner: Kanof, Pedro R.  
)  
) Group Art Unit No. 3628  
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## PRELIMINARY AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to examination of the subject application, please amend the above-identified application as follows: